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Mail Label No. EV107947445US

PATENT APPLICATION

Docket: 6300.103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert W. McClane et al.

Serial No.:

10/040,883

Filed:

January 7, 2002

For:

METHOD AND APPARATUS FOR RAMAN
IMAGING OF MACULAR PIGMENTS)
)
) Art Unit
) 2633
)
)
)
)
)CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 10th day of July 2002.

- Response to Notice to File Missing Parts of Application
- PTO Notice to File Missing Parts of Non-Provisional Application
- Declaration, Power of Attorney, and Petition
- Assignment 1 with recordation cover sheet
- Assignment 2 with recordation cover sheet
- Declaration Claiming Small Entity Status for Non-Profit Organization
- Petition for Three-Month Extension of Time
- Credit Card Payment Form PTO-2038 for \$1,284.00
- Postcard

Respectfully submitted,

Gregory M. Taylor
Attorney for Applicants
Registration No. 34,263**022913**

PATENT TRADEMARK OFFICE

WORKMAN NYDEGGER & SEELEY

ATTORNEYS AT LAW

A PROFESSIONAL CORPORATION

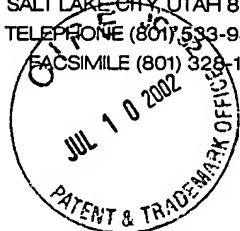
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RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed February 13, 2002, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- x PTO Notice to File Missing Parts of Non-Provisional Application
- x A Declaration, Power of Attorney, and Petition executed by the inventors

- x An Assignment, including a Form PTO-1595 recordation cover sheet, conveying the invention from the inventors to The University of Utah
- x An Assignment, including a Form PTO-1595 recordation cover sheet, conveying the invention from The University of Utah to The University of Utah Research Foundation
- x A Declaration Claiming Small Entity Status Pursuant to 37 C.F.R. §§ 1.9(e)
- x The present application claims entitlement to Small Entity Status under 37 C.F.R. §§ 1.9(e) and 1.27
- x Petition for Three-Month Extension of Time
- x A Certificate of Express Mail under 37 C.F.R. § 1.10.

The filing fee has been calculated as shown below.

			SMALL ENTITY		LARGE ENTITY	
For	No. Filed	No. Extra	Rate	Fee	Rate	Fee
Basic Fee				\$370		\$740
Total Claims	45 - 20 =	25	x 9=	\$225	x 18=	
Ind. Claims	5 - 3 =	2	x 42	\$ 84	x 84=	
Multiple Dependent Claims Presented			+140=		+280=	
			TOTAL	\$679	TOTAL	

- x Credit Card Payment Form PTO-2038 authorizing payment of \$1,284.00 is enclosed and includes:
 - x \$679.00 for the government filing fee
 - x \$65.00 for the small entity surcharge fee
 - x \$80.00 for recordation fee for two assignments
 - x \$460 for a three-month extension of time.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178. A duplicate copy of this letter is attached.

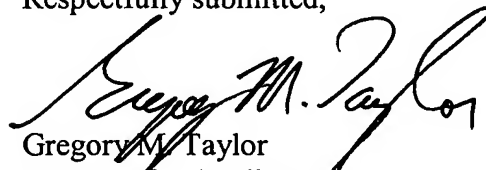
x Any additional filing fees required under 37 C.F.R. § 1.16.

x Any patent application processing fees under 37 C.F.R. § 1.17.

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 10th day of July 2002.

Respectfully submitted,



Gregory M. Taylor
Attorney for Applicants
Registration No. 34,263



022913

PATENT TRADEMARK OFFICE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,883	01/07/2002	Robert W. McClane	6300.103

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CONFIRMATION NO. 8869
FORMALITIES LETTER

OC000000007466569

Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$618.
 - \$450 for 25 total claims over 20.
 - \$168 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1488.

A copy of this notice MUST be returned with the reply.

S. Gurems
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/16/2002 HWARZ11 00000058 10040803
01 FC:201
02 FC:202
03 FC:203
04 FC:205
370.00
44.00
253.00
65.00